IN FOCUS
COVID-19 and the Wuhan Institute of Virology

19 May 2021
Since 2012, Republican Members of the House Permanent Select Committee on Intelligence have been examining the growing threat to America posed by the Communist Chinese government and the People’s Liberation Army.

This unclassified interim report is part of our ongoing efforts to ensure the American people are well-informed of this danger.
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Executive Summary

To prevent or quickly mitigate future pandemics, it’s crucial for health experts and the U.S. Government to understand how the COVID-19 virus originated. International efforts to discover the true source of the virus, however, have been stymied by a lack of cooperation from the People’s Republic of China (PRC).

Nevertheless, significant circumstantial evidence raises serious concerns that the COVID-19 outbreak may have been a leak from the Wuhan Institute of Virology. This evidence includes:

• China’s history of research lab leaks resulting in infections.
• Warnings from U.S. diplomats in China as early as 2017 that the Wuhan lab was conducting dangerous research on coronaviruses without following necessary safety protocols, risking the accidental outbreak of a pandemic.
• Gain of Function research being conducted at the Wuhan lab that made coronaviruses more infectious in humans.
• Several researchers at Wuhan lab were sickened with COVID-19-like symptoms in fall 2019.
• The involvement in the Wuhan lab of the Chinese military, which has a documented biological weapons program.
• Multiple indications of attempts by Beijing to cover up the true circumstances of the COVID-19 outbreak.

By contrast, little circumstantial evidence has emerged to support the PRC’s claim that COVID-19 was a natural occurrence, having jumped from some other species to humans. For example, Chinese authorities have failed to identify the original species that allegedly spread the virus to humans, which is critical to their zoonotic transfer theory.

There are also clear signs that U.S. Government agencies and academic institutions may have funded or collaborated in Gain of Function research at the Wuhan lab. At least some of this research was published even after the U.S. Government had paused these kinds of studies in the United States due to ethical concerns over their biowarfare applicability and their potential to accidentally unleash a pandemic.

To protect American citizens from future pandemics, the U.S. Government must place more pressure on China to allow full, credible investigations of the source of the COVID-19 pandemic and to allow probes of the likelihood that it resulted from a lab leak. The U.S. Government must also provide a full accounting of any American cooperation with the Wuhan lab’s coronavirus research, including the support of these projects through U.S. Government funds.
Introduction

In late December 2019, the Wuhan Municipal Health Commission first reported that a small cluster of patients was infected with an unexplained pneumonia in Wuhan, China. Just days later, the number of infected patients nearly doubled, and by the middle of January, China shared the genetic makeup of a novel coronavirus with the World Health Organization.\(^1\) That virus, known as SARS-CoV-2, causes COVID-19, which, as of May 19, 2021, has resulted in over 163 million confirmed cases and the deaths of more than 3.3 million people worldwide.\(^2\)

As part of House Republicans’ investigations into the threats posed by China, Republican Members of the House Permanent Select Committee on Intelligence are investigating the origins of COVID-19. It is crucial that we discover the true source of the outbreak—whether it was due to an accidental laboratory exposure or contact with infected animals—to ensure the United States is prepared for a future pandemic.

As early as 2016, Wuhan Institute of Virology (WIV) researchers were conducting experiments involving RaTG13, the bat coronavirus identified by the WIV in January 2020 as the closest sample to the SARS-CoV-2 virus—the virus that causes COVID-19.\(^3\) The WIV has lacked transparency and consistency about its research on viruses similar to COVID-19, including RaTG13, which was sampled from a cave in the Yunnan Province after several miners died of COVID-like symptoms.\(^4\) Furthermore, the presence of the Chinese military at the laboratory raises concerns about potential dual-use research.\(^5\)

Based on publicly available information, the possibility that the outbreak originated from an accidental exposure at the WIV has not been disproven. The WIV was the site of China’s only Biosafety Level-4 laboratory where experiments are conducted on dangerous pathogens. On January 15, 2021, the Department of State publicly revealed that several WIV researchers became sick in the fall of 2019 with COVID-like symptoms prior to the first confirmed case.\(^6\) Additionally, uncorroborated media reports indicate there was no cellphone activity at the WIV

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\(^4\)Id.

\(^5\)Id.

between October 7, 2019 and October 24, 2019. China has prevented independent investigators and global health agencies from interviewing any of the WIV researchers, including those who became sick in the fall of 2019. China owes the world full transparency and greater cooperation in the search for the true origins of COVID-19.

COVID-19 Origination Theories

Chinese authorities first reported that many cases of COVID-19 occurred in people visiting a Wuhan wet market—a place for selling wild animals for meat. However, Chinese government scientists publicly ruled out this origin theory in May 2020 while also casting doubt on allegations that the virus came from the WIV. Other theories, as suggested in the findings of the World Health Organization’s (WHO) tightly controlled review into the origins of COVID-19, include direct human contact with a horseshoe bat or human contact with an intermediary species such as pangolins. Additionally, the WHO suggests that COVID-19 could have been transmitted through the handling of imported frozen food. While Committee Republicans acknowledge there are differing theories on the origins of COVID-19, this review focuses on the WIV as a possible origin source.

What We Know

Founded in 1956, the Wuhan Institute of Virology is a Chinese Academy of Sciences research institution. Its laboratory was the first in China to be certified as meeting the standards and criteria of a Biosafety Level-4 lab (the highest level of biosafety precautions) by the China National Accreditation Service for Conformity Assessment. More importantly, the WIV is reported to have significant military participation in the laboratory’s operations and has been conducting secret and classified scientific research. In fact, the Chinese government appointed...
Major General Chen Wei, China’s top biowarfare expert, as the head of the WIV lab in February 2020.15

Safety concerns regarding the WIV lab’s practices were identified by U.S. Embassy officials in late 2017.16 From late 2017 to March 2018, health and science experts from the U.S. Embassy in Beijing made multiple visits to the WIV.17 The diplomats warned Washington that the lab’s work to make bat coronaviruses infectious for humans, coupled with grave safety concerns, could result in the accidental unleashing of a new SARS-like pandemic.18

Possible U.S. Funding of Gain of Function Research in China

Under the leadership of Dr. Anthony Fauci,19 between Fiscal Years 2014 and 2019, the National Institute of Allergy and Infectious Diseases (NIAID) awarded more than $3.7 million in grants to EcoHealth Alliance to examine the “risk of future coronavirus (CoV) emergence[s]…in China.”20 EcoHealth Alliance has also reportedly received funding from the U.S. Agency for International Development (USAID).21 The President of EcoHealth Alliance, Dr. Peter Daszak, has been an outspoken opponent of the lab leak hypothesis since the outbreak of the pandemic.22 Dr. Daszak was the only American scientist to participate in the WHO’s 28-day investigation into the origins of COVID-19.23

EcoHealth Alliance subcontracts with researchers at laboratories, including the WIV, to conduct its coronavirus research. One of the projects that was partially funded by U.S. Government grants received by EcoHealth Alliance, as well as funding received from the Chinese government, was a 2015 study conducted in partnership with the WIV.24 This study conducted research into creating a hybrid virus that combined elements from two bat-borne

17 Id.
18 Id.
19 Dr. Anthony S. Fauci has served has the Director of NIAID since 1984. https://www.niaid.nih.gov/about/director
20 “Understanding the Risk of Bat Coronavirus Emergence” (Project Number 1R01AI110964-01), Awarded May 27, 2014, https://reporter.nih.gov/project-details/8674931#similar-Projects
coronaviruses, including the one that caused SARS in 2002. The mutated virus created by the researchers could more easily infect human cells, which was a noteworthy and unnatural modification because “almost all coronaviruses from bats have not been able to bind to the key human receptor.” This study is an example of a Gain of Function research experiment, which enhance a pathogen’s natural traits. Because these experiments involve creating a new, more dangerous virus without a corresponding vaccine, they have been controversial in the West due to the pandemic-related potential if an altered strain escapes the lab.

Gain of Function Research

Gain of Function viral modifications clearly have the potential to cause significant harm to mankind, including deaths. Since 1977, knowledge related to genetic code has risen as a result of DNA sequencing, the human genome project, the 2012 discovery of clustered regularly interspaced short palindromic repeats (CRISPR), and the ability to edit this foundational genetic feature. These discoveries could potentially lead to cures for genetically transmitted diseases in humans and could have numerous positive agricultural applications.

These discoveries enabled Gain of Function experiments, which are designed to either accelerate or force viral modifications that may or may not be found in nature to make a pathogen more contagious and potentially more deadly. Such research, when conducted by responsible scientists, usually aims to improve understanding of disease-causing agents, their interaction with human hosts, and their potential to cause pandemics. The theoretical objective of Gain of Function research is to better inform public health, preparedness efforts, and the development of medical countermeasures.

Gain of Function, however, could potentially have a more dangerous result— for example, the intentional weaponization of genetic modifications or an unintentional leak that

27 “A “gain-of-function” experiment introduces or amplifies a gene product. This type of research is intended to increase the transmissibility, host range, or virulence of pathogens. The gene products of the majority of these experiments result in cellular death or with phenotypes that are difficult or impossible to interpret. It is hoped that enhancing and analyzing the transmissibility of the pathogen could provide new information that could lead to improved vaccines to prevent an outbreak that may arise in the future. However, there is also risk that it could lead to an inadvertent release of a virus with enhanced transmissibility. Due to inherent dangers, gain of function research is often described as Dual Use Research of Concern (DURC). Biosafety risks include laboratory-acquired infections or accidental release of the virus, which are major threats for public health.
Federation of American Scientists, 3.7.13 Science and Security: The Moratorium on H5N1 “Gain-of-Function” Experiments
results in a pandemic.\textsuperscript{31} Many of the warnings of these dangers resulted from research into the dangerous H5N1 avian influenza virus. According to the Federation of American Scientists, “Similar to other research, experiments involving infectious pathogens, some H5N1 studies, due to their inherent dangers, are described as Dual-Use Research of Concern (DURC). Biosafety risks include laboratory-acquired infections or accidental release of the virus, which are major threats for public health.”\textsuperscript{32}

Given the Chinese government’s documented biological weapons program,\textsuperscript{33} it is difficult to understand why the U.S. Government permitted collaborative research at the WIV, which had a known Chinese military presence.\textsuperscript{34} As early as 2010, Chinese professors within the Third Military Medical Unit were publishing academic papers emphasizing the impact of biology on future warfare.\textsuperscript{35} Even as recently as 2017, biology was included among “seven new domains of warfare,” according to Zhang Shibo, a retired general and former president at the PLA National Defense University.\textsuperscript{36}

**Laboratory Safety**

The Chinese government has been conducting dangerous and controversial research on bat coronaviruses ever since the original SARS outbreak in 2002.\textsuperscript{37} Some of this research resulted in lab leaks including a 2004 incident in Beijing that caused an outbreak.\textsuperscript{38} After the SARS epidemic, and likely as a result of the publicly known lab leaks, the government of China initiated a plan to construct a national high-level biosafety laboratory system to prepare for and respond to future infectious disease outbreaks.\textsuperscript{39} The Biosafety Level-4 (BSL-4) laboratory at the WIV was the first in China’s plan to build between five and seven BSL-4 labs across the Chinese mainland by 2025.\textsuperscript{40} However, just because the lab has BSL-4 certification does not mean that research and experiments conducted on bat coronaviruses were done under the strictest safety procedures. The lead scientist at the WIV publicly acknowledged that coronavirus research

\begin{itemize}
  \item \textsuperscript{36} Id.
  \item \textsuperscript{37} SARS escaped Beijing lab twice”, Apr 25, 2004, \url{https://www.the-scientist.com/news-analysis/sars-escaped-beijing-lab-twice-50137}.
  \item \textsuperscript{38} Id.
  \item \textsuperscript{39} “Wuhan coronavirus: China was warned in 2017 that a deadly virus could escape its level 4 biohazard lab”, \url{https://meaww.com/wuhan-coronavirus-warned-2017-lab-wuhan-deadly-diseases-escape-lab-level-4-safety-scientists} , Jan 24, 2020.
  \item \textsuperscript{40} Id.
\end{itemize}
research was being conducted at that lab in BSL-2 or BSL-3 laboratories.\textsuperscript{41} BSL-2 laboratories only require that researchers wear lab coats and gloves, while BSL-4 labs require strict decontamination procedures and wearing a full body positive pressure suit.\textsuperscript{42}

Additionally, as discussed above, U.S. diplomats as well as health and science experts in China warned about safety concerns at the WIV in 2017 and 2018.

\subsection*{Virus Modification Detectability}

Central to finding the true cause of COVID-19 is the belief that certain combinations of Gain of Function viral modification techniques can be identified through forensic investigation. However, Dr. Ralph Baric—a leading expert in the field who worked on a 2015 study with Zheng-li Shi,\textsuperscript{43} described as “Bat Woman”\textsuperscript{44} in a Scientific American profile—said such modifications could be undetectable. He stated:

\begin{quote}
In the chimera we made in America in 2015 with the SARS virus, together with Professor Zheng-li Shi of the Wuhan Institute of Virology, we had left signature mutations, so it was clear that it was the result of genetic engineering. But otherwise there is no way to distinguish a natural virus from one made in the laboratory.\textsuperscript{45}
\end{quote}

This hinders the ability to determine if a virus modification emerged as a natural mutation or was released from a lab. Dr. Baric further asserted, “You can engineer a virus without leaving any trace. The answers you are looking for, however, can only be found in the archives of the Wuhan laboratory.”\textsuperscript{46} This makes laboratory-made modifications at least as probable an explanation for the COVID-19 outbreak as a natural emergence via zoonotic transfer. Critics of the lab leak hypothesis point to the lack of detectable alterations in the virus code, but Dr. Baric, the premier expert in this field, clearly states that modern techniques do not leave “any trace,” thus undermining the critique.\textsuperscript{47}

\subsection*{Doubts about Natural Emergence}

While the PRC insists the COVID-19 outbreak was a natural occurrence, there are many reasons to doubt this claim. First and foremost, Beijing has presented no evidence to support this

\begin{itemize}
\item \textsuperscript{41} Statement of Dr. Shi for Science Magazine, \url{https://www.sciencemag.org/sites/default/files/Shi%20Zhengli%2020Q%26A.pdf}
\item \textsuperscript{42} CDC: Recognizing the Biosafety Levels, \url{https://www.cdc.gov/training/quicklearns/biosafety/}
\item \textsuperscript{43} Professor Zheng-li Shi, a Chinese virologist from the Wuhan Institute of Virology, has spent her career studying SARS-like coronaviruses of bat origin.
\item \textsuperscript{44} “How China’s ‘Bat Woman’ Hunted Down Viruses from SARS to the New Coronavirus,” June 1, 2020, \url{https://www.scientificamerican.com/article/how-chinas-bat-woman-hunted-down-viruses-from-sars-to-the-new-coronavirus/}
\item \textsuperscript{45} “Is it possible to create a virus in the laboratory without a trace? The expert’s answer”[Translated from Italian], Sep 14, 2020, \url{https://www.huffingtonpost.it/entry/e-possible-creare-un-virus-in-laboratorio-senza-lasciare-traccia-la-risposta-dellesperto_it_5f5f3993c5b62874bc1f7339}
\item \textsuperscript{46} Id.
\item \textsuperscript{47} Id.
\end{itemize}
theory. Chinese researchers have failed to find the original bat population or species from which SARS-CoV-2 might have jumped, despite an intensive search and testing more than 80,000 animals. This failure is in stark contrast to past coronavirus outbreaks, when it took four months to identify the animal host of SARS and nine months to find the host of MERS. As noted in a May 5, 2021 letter to Dr. Anthony Fauci, Congressman Mike Gallagher notes that if the origins of COVID-19 was truly zoonotic then it would be “expect[ed] to be most infectious in the host species, i.e. bats...and least [infectious] in humans.”

Chinese Cover Up
Since the initial COVID-19 outbreak, the Chinese government has lied, obfuscated, covered up, and blocked the flow of information about the origins of the pandemic. It took Beijing nearly three weeks to even acknowledge there was human-to-human transfer of the virus. The WIV and the Chinese government stymied and manipulated the World Health Organization’s 28-day review of COVID-19’s origins, and they silenced medical workers and journalists trying to report on the outbreak. Chinese National Health Commission officials confirmed that guidelines were issued to destroy samples of the coronavirus from the WIV because “laboratory conditions [could not] meet the requirements for the safe preservation of samples.” Lastly, Chinese authorities suppressed all records at the WIV, even closing down the virus database. The world deserves unrestricted access to all this information, without Chinese meddling, in order to come to an informed scientific conclusion about the origins of COVID-19.

48 “The origin of COVID: Did people or nature open Pandora’s box at Wuhan?,” May 5, 2021, https://thebulletin.org/2021/05/the-origin-of-covid-did-people-or-nature-open-pandoras-box-at-wuhan/
49 Id.
50 Dr. Steven Quay, “A Bayesian analysis concludes beyond a reasonable doubt that SARS-CoV-2 is not a natural zoonosis but instead is laboratory derived (Version 3),” March 29, 2021, http://doi.org/10.5281/zenodo.4642956.
56 “The origin of COVID: Did people or nature open Pandora’s box at Wuhan?,” May 5, 2021, https://thebulletin.org/2021/05/the-origin-of-covid-did-people-or-nature-open-pandoras-box-at-wuhan/
Key Events

2012 – The WIV, alongside Chinese military officials, begin research to find new viruses and detect “dark matter” of biology involved in spreading disease.57

2014 – The U.S. Government institutes a pause on Gain of Function research.58

2015 – In partnership with the WIV and the Chinese government, a U.S. Government funded study conducts gain of function research by creating a hybrid or chimeric virus that combined elements expressing the spike of bat coronavirus in mouse-adapted SARS-CoV backbone.59

2017 - The Obama Administration’s Office of Science and Technology Policy (OSTP) recommends policy changes for Potentially Pandemic Pathogen Care and Oversight.

2017 – The U.S. Government lifts the pause on Gain of Function research.60


2018 - In its Annual Threat Assessment, the Intelligence Community includes a general statement regarding the threat of pandemics:

“A novel strain of a virulent microbe that is easily transmissible between humans continues to be a major threat, with pathogens such as H5N1 and H7N9 influenza and Middle East Respiratory Syndrome Coronavirus having pandemic potential if they were to acquire efficient human-to-human transmissibility.”61

2019 - Between October 7, 2019, and October 24, 2019, uncorroborated media reports indicate there was no cellphone activity at the Wuhan Institute of Virology.62

58 Science and Security: The Moratorium on H5N1 “Gain-of-Function” Experiments, Federation of American Scientists, March 7, 2013. It remains unclear as to what department/agency instituted the pause on GoF research, the authority cited to pause such research, and the rationale cited.
60 It remains unclear as to what department/agency authorized the US Government to restart GoF research again, the authority cited to continue such research, and the rationale cited.
61 Worldwide Threat Assessment of the Intelligence Community, Statement for the Record, Daniel R. Coats, Director of the National Intelligence, February 13, 2018.
Autumn 2019 – Researchers inside the WIV become sick.  

2020 – Chinese National Health officials order the destruction of coronavirus samples from the WIV laboratory and suppress WIV records.

2020 – The Office of the Director of National Intelligence issues a public statement asserting, “The Intelligence Community also concurs with the wide scientific consensus that the COVID-19 virus was not manmade or genetically modified.”

2020 – The Office of the Director of National Intelligence issues a public statement asserting, “The Intelligence Community also concurs with the wide scientific consensus that the COVID-19 virus was not manmade or genetically modified.”

2021 – A publicly released State Department fact sheet acknowledges that WIV researchers began conducting experiments involving Bat Corona Virus RaTG13 in 2016.

2021 – Director of National Intelligence Avril Haines testifies that the Intelligence Community remains focused on two primary theories: “that it [COVID-19] emerged naturally from human contact with infected animals or it was the result of an accident at a laboratory in Wuhan.”

Next Steps

Based on the information above, the threads of circumstantial information suggest the outbreak of SARS-CoV-2 and resulting COVID-19 pandemic could have been the result of an accidental leak from the WIV, particularly given the absence of credible information that supports a zoonotic transmission. A recent scientific paper indicates that the virus has several characteristics that, when taken together, are not easily explained by a natural zoonotic origin hypothesis.

Unfortunately, Beijing has hindered the conduct of a full, credible investigation. There is overwhelming circumstantial evidence, however, to support a lab leak as the origination of COVID-19, while there is no substantive evidence supporting the natural zoonosis hypothesis.

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67 Director of National Intelligence testimony during the worldwide threat assessment briefing. Apr 15, 2021
Committee Republicans are concerned that the U.S. Government directly or indirectly engaged in dangerous scientific research with China despite knowing of the Chinese military’s participation. The Committee must determine what role, if any, the Intelligence Community (IC) had in monitoring or evaluating U.S. policy risks related to these efforts. Crucially, the Committee needs to determine what IC elements, if any, participated or provided input to the policy formulation process. We must also understand the IC’s collection posture and whether resources were properly focused on the threat, as highlighted in the 2018 IC threat assessment.

Additionally, we must further investigate the partial moratorium on Gain of Function research in 2014 and the 2017 reversal of that policy. Furthermore, the Intelligence Community’s participation in this policy review remains opaque, and the Committee must determine what role, if any, intelligence elements played.

As Committee Republicans continue to investigate the origins of COVID-19, including the full scope of Gain of Function research that the U.S. Government was conducting with China, we will work with the appropriate Executive Branch agencies to seek further information as detailed in the attached letter to the Director of National Intelligence.

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Appendix 1: May 16, 2021 Letter from Ranking Member Nunes to the Intelligence Community

May 16, 2021

The Honorable Avril Haines
Director of National Intelligence
Office of the Director of National Intelligence
Washington, D.C. 20515

Dear Director Haines:

During your April 15, 2021 World Wide Threats briefing before the House Permanent Select Committee on Intelligence, you indicated that Intelligence Community (IC) components have coalesced around “two plausible theories” related to the origins of COVID-19 with “one of them a laboratory accident.”

It is critical that we understand the origin of COVID-19 so that the United States Government and the global community can take appropriate measures to reduce the likelihood of another pandemic. Our prevention, preparedness, and surveillance activities must be informed by such an understanding. Moreover, if human error contributed to the outbreak or its spread, we must look hard at the root causes and implement the necessary public and international mechanisms to prevent a reoccurrence.

In short, we need to understand what occurred in the Chinese province of Wuhan—the origin of COVID-19—and what research was being conducted at the Wuhan Institute of Virology (WIV). However, the People’s Republic of China (PRC) has denied access to independent investigators and taken other actions that hinder international probes of the COVID-19 breakout. It is also telling that the PRC has engaged in a global disinformation campaign on this topic, including the outrageous allegation that the virus was created by the United States. These facts place significant responsibility on the IC to discover the truth of these matters.

As Members of Congress responsible for overseeing U.S. intelligence agencies, we believe the IC failed to properly support policymakers with timely products and analysis. Further, the IC has not been forthcoming about what processes it undertook to make seemingly authoritative statements early in the pandemic about the origins of the virus—conclusions that
are now in question. This casts doubt on the validity of early judgments as well as the analytic integrity of COVID-19-related intelligence reporting.

Moreover, evidence shows that the IC’s failures continued in the pandemic’s aftermath. Specifically, Republican Members are aware of allegations that the IC suppressed dissenting views related to the origins of the pandemic and that the community relied upon “outside” experts with concerning yet undisclosed entanglements.

Given your role in governing the IC, including the critical requirement of ensuring the analytic integrity of intelligence reporting as documented by Intelligence Community Directive 203, I request that you address the following:

1. Please provide all IC reporting and products regarding the origins of COVID-19 not already produced to the House Intelligence Committee. This should include any analytic products produced by federally funded research and development centers or outside experts. Should information be restricted and subject to limited dissemination, please make arrangements to enable appropriately cleared staff to review the information.

2. Please provide all intelligence underlying the January 15, 2021 State Department Fact Sheet (Activity of the Wuhan Institute of Virology) to include intelligence related to 1) illnesses at the WIV; 2) WIV research on “RaTG13” and “Gain of Function”; and 3) secret WIV links to military research.

3. Please provide the Committee any IC reporting concerning collaboration between the WIV and the Chinese military.

4. Does the IC have any evidence, circumstantial or otherwise, that COVID-19 occurred naturally and spilled over directly from an intermediate host to humans in the fall of 2019?

5. Has the IC assessed the PRC’s compliance with Article X of the Biological Weapons Convention? If so, please provide the committee that assessment.

6. Is the IC aware of collaboration between the WIV and its related entities or scientists and foreign scientists? Has the IC produced threat assessments or other reports concerning such activity?

7. Is the IC aware of any collaboration between the WIV and U.S. government entities or persons, including any potential ties to the Chinese military? If so, did the IC produce counterintelligence threat assessments or any other reports concerning such activity?
8. Was the IC involved in any interagency reviews concerning the appropriateness of U.S. government funding of research conducted at the WIV and its related facilities?

9. Was the IC involved in any interagency reviews concerning Gain of Function research and, specifically, the appropriateness of funding this research outside the United States or in collaboration with foreign adversaries such as China? If so, was this involvement documented and were any findings produced?

10. Has the IC assessed the risks associated with Gain of Function research by nation states that are known to possess biological weapons capabilities?

11. Does the IC assess that Gain of Function research collaboration with China represents a threat to U.S. national security? If not, what is the basis for such a view?

12. It is publicly documented that the National Institutes of Health and USAID have provided funding to Chinese laboratories conducting dangerous dual-use research. Was the IC consulted prior to approval of funding for this activity, and does the IC maintain an ongoing review of the activity?

13. Has the IC produced an analysis on China’s adherence to international health regulations in the wake of the COVID-19? If so, please provide it to the Committee.

14. Did the IC support the development of HHS guidelines that outline the risk assessment and mitigation steps associated with Enhanced Potential Pandemic Pathogens (Department of Health and Human Services Framework for Guiding Funding Decisions about Proposed Research Involving Enhanced Potential Pandemic Pathogens)?

15. Please provide the Committee any IC reporting concerning PRC reactions to the January 15, 2021 Department of State Fact Sheet regarding activity at the Wuhan Institute of Virology.

16. According to press reports, the French General Directorate for External Security expressed repeated concerns over French participation in the construction and development of the Wuhan Institute of Virology. Does the IC have any reporting to corroborate these reports? If the General Directorate did express such concerns, was the IC made aware of them, and did the IC concur?

17. Does the IC understand the full scope of dangerous research conducted at the WIV? What are the IC’s knowledge gaps?

18. Did the IC seek participation from other U.S. federal departments or agencies in the production of COVID-19 reporting and, if so, how was this accomplished?
19. Please provide the names of any outside infectious disease experts referenced in any IC report related to origins of COVID-19, their curriculum vitae or resume, and the criterion used to pick these particular experts.

20. How were outside experts vetted, including for potential conflicts of interest—such as prior involvement with the Wuhan Institute of Virology—and what was the selection process?

21. Who specifically was responsible for the selection of outside experts?

22. Has the IC organized or participated in any forums with outside experts on this topic? If so, please describe the engagement(s).

23. Who are the members of the Biological Sciences Experts group?

24. NBC News reported on May 8, 2020, “Report says cellphone data suggests October shutdown at Wuhan lab, but experts are skeptical.” What is the IC’s assessment concerning an “October shutdown at the WIV”?

25. Given the fact that cooperation with China is not attainable, and if offered would be unreliable, what investigative steps are planned to determine the cause of the COVID-19 outbreak?

I appreciate your assistance with this important matter. Given the scope of the request, I understand the IC’s response may need to be provided in tranches. To facilitate the work of Republican Members of the Committee, I request that you provide information beginning no later than May 31, 2021. Please do not hesitate to contact Committee staff at (202) 225-4121 with any questions regarding this request.

Sincerely,

Devin Nunes
Ranking Member

CC: Mr. David M. Taylor
Performing the Duties of the Under Secretary of Defense for Intelligence & Security
The Honorable William J. Burns
Director, Central Intelligence Agency
General Paul M. Nakasone
    Director, National Security Agency
Lieutenant General Scott D. Berrier
    Director, Defense Intelligence Agency
The Honorable Steven K. Black
    Director of the Office of Intelligence and Counterintelligence, Department of Energy
The Honorable Melissa Smislova
    Acting Under Secretary for Intelligence and Analysis, Department of Homeland Security
The Honorable Kin Moy
    Acting Assistant Secretary of State
The Honorable Michael Neufeld
    Acting Assistant Secretary, Department of Treasury, Office of Intelligence and Analysis
Vice Admiral Robert Sharp
    Director, National Geospatial-Intelligence Agency
Dr. Christopher Scolese
    Director, National Reconnaissance Office
The Honorable Christopher Wray
    Director, Federal Bureau of Investigation
Appendix 2: Key Players/Activities

**EcoHealth Alliance** - EcoHealth Alliance is an international nonprofit dedicated to a “One Health” approach to protecting the health of people, animals and the environment from emerging infectious diseases. The group has received more than $100 million in funding from U.S. Government agencies for various virus surveillance projects and coronavirus research—including Gain of Function work.

**Dr. Peter Daszak** – Dr. Daszak is President of EcoHealth Alliance. He petitioned 27 prominent scientists to sign a letter to *The Lancet* (a peer-reviewed general medical journal) supporting Chinese “scientists, public health professionals and medical professionals who have worked diligently and effectively to rapidly identify the pathogen behind this outbreak” and criticizing theories about a lab leak as a “conspiracy.” Dr. Daszak was the only American scientist to participate in the WHO’s 28-day investigation into the origins of COVID-19.

**Dr. Ralph Baric** – Dr. Baric is a Distinguished Professor in the Department of Epidemiology and Professor in the Department of Microbiology and Immunology at the University of North Carolina Gillings School of Global Public Health. Dr. Baric has spent much of the past three decades performing coronavirus research and is a leading expert in Gain of Function research. He and Dr. Zheng-li Shi (a WIV researcher) cooperated on controversial Gain of Function research in 2015 modifying the SARS virus. On May 14, 2021, Dr. Baric called for an investigation to determine the origin of the pandemic, stating “[t]heories of accidental release from a lab and zoonotic spillover both remain viable.”

**The National Institute of Allergy and Infectious Diseases (NIAID)** – With an estimated $6.1 billion budget, NIAID conducts and supports basic and applied research to understand, treat, and prevent infectious, immunologic, and allergic diseases.

**The National Institutes of Health (NIH)** – NIH provides policy oversight and funding via contracts and grants to organizations working on Gain of Function research.

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70 EcoHealth Alliance, [https://www.ecohealthalliance.org/about](https://www.ecohealthalliance.org/about)
73 Ralph S. Baric, PhD, [https://sph.unc.edu/adv_profile/ralph-s-baric-phd/](https://sph.unc.edu/adv_profile/ralph-s-baric-phd/)
74 “A SARS-like cluster of circulating bat coronaviruses shows potential for human emergence” Nature Medicine, Nov 9, 2015, [https://www.nature.com/articles/nm.3985#change-history](https://www.nature.com/articles/nm.3985#change-history)
75 Ralph S. Baric et al., “Investigate the origins of COVID-19,” May 14, 2021, [https://science.sciencemag.org/content/372/6543/694.1](https://science.sciencemag.org/content/372/6543/694.1)
76 NIAID Mission, [https://www.niaid.nih.gov/about/mission-planning-overview](https://www.niaid.nih.gov/about/mission-planning-overview)
University of North Carolina – The University of North Carolina and the WIV have been beneficiaries of multiple contracts and grants from NIAID, as well as funding from EcoHealth Alliance.

The United State Agency for International Development (USAID) – USAID funds a project known as PREDICT, whose mission is to strengthen global capacity for detection and discovery of zoonotic (moving from animals to humans) viruses.

World Health Organization (WHO) – Comprising more than 7,000 people from over 150 countries, the WHO is dedicated to promoting and improving worldwide health. The organization has been widely criticized for not demanding more transparency from China after the outbreak of COVID-19.

Wuhan Institute of Virology (WIV) – The WIV has received funding from the U.S. Government for more than a decade. Many of these funds have been provided by the NIH and NIAID. NIH has confirmed that the WIV remains eligible to receive funding for animal research through 2024. WIV virologist Dr Zheng-li Shi is a highly accomplished author and co-author on bat-viruses and Gain of Function research with international teams of researchers. She cooperated with Dr. Ralph Baric on work regarding bat coronaviruses.

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78 “A SARS-like cluster of circulating bat coronaviruses shows potential for human emergence” Nature Medicine, Nov 9, 2015. https://www.nature.com/articles/nm.3985#change-history